

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Dale B. Schenk et al.  
 Application No./Patent No.: 10/698,099 Filed/Issue Date: 10/31/2003  
 Titled: Prevention and treatment of synucleinopathic disease

Elan Pharmaceuticals, Inc., a Corporation  
 (Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☒ an assignee of less than the entire right, title, and interest in  
 (The extent (by percentage) of its ownership interest is 50 %) Elan Pharmaceuticals, Inc. and  
 The Regents of the University of California are each an assignee of a joint right, title, and interest in the patent application  
 identified above. There are no other assignees.; or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was  
 made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded  
 in the United States Patent and Trademark Office at Reel 014249, Frame 0522, or for which a  
 copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet (s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the  
 assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment  
 Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Rosemarie L. Celli/  
 Signature

01/10/2011  
 Date

Rosemarie L. Celli, Reg. #042397  
 Printed or Typed Name

Attorney of Record  
 Title

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